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Docket No.: ANVI-P01-003

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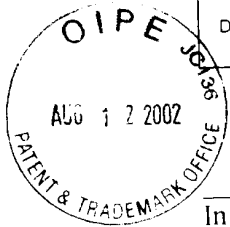
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Signature

(Ginny Brundell)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:  
Patrick E. Hoffman

Application No.: 10/077692

Filed: February 15, 2002

For: METHOD AND SYSTEM FOR DATA  
ANALYSIS

Group Art Unit: 2857

Examiner: Not Yet Assigned

INFORMATION DISCLOSURE STATEMENT (IDS)

Commissioner for Patents  
Washington, DC 20231

Dear Sir:

In accordance with 37 CFR 1.97, Applicant(s) hereby make of record the following additional documents. A PTO Form SB/08 and a full copy of each of these documents accompany this statement.

Applicant(s) have become aware of the following documents, cited in an International Search Report issued June 12, 2002, during the prosecution of international application no. PCT/US02/12491, which corresponds to the above referenced application, and in accordance with 37 CFR 1.97(c) and (e)(1) or (b)(3), hereby submit(s) these documents for the Examiner's consideration. These documents are cited on the enclosed PTO Form SB/08, and a copy of the International Search Report and each document cited thereon are enclosed as well.

This statement is not to be interpreted as a representation that the cited documents are material, that an exhaustive search has been conducted, or that no other relevant information exists. Nor shall the citation of any document herein be construed *per se* as a representation that such document is prior art. Moreover, Applicant(s) understand(s) the Examiner will make an independent evaluation of the cited documents.

The undersigned hereby certifies that each item contained in this Supplemental Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 18-1945, under Order No. ANVI-P01-003. A duplicate copy of this paper is enclosed.

Dated: August 7, 2002

Respectfully submitted,

By 

Paul E. Lewkowicz

Registration No.: 44,870

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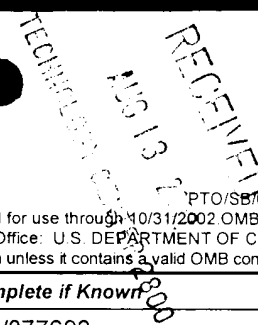
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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				<b>Application Number</b>	10/077692
				<b>Filing Date</b>	February 15, 2002
				<b>First Named Inventor</b>	Patrick E. Hoffman
				<b>Art Unit</b>	2857
				<b>Examiner Name</b>	Not Yet Assigned
<b>Sheet</b>	1	<b>of</b>	3	<b>Attorney Docket Number</b>	ANVI-P01-003

## U.S. PATENT DOCUMENTS

Examiner Initials*	Cite No. 1	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
	AA	6002694-A1	12-14-1999	YOSHIZAWA ET AL	col. 16, lines 28-39
	AB	6173239-B1	01-09-2001	ELLENBY	col. 5, lines 1-4, col. 8, lines 48-51
	AC	5548749-A1	08-20-1996	KROENKE ET AL	col. 2, lines 55-57
	AD	6281820-B1	08-28-2001	FIELDS	col. 2, lines 48-49, col. 4, lines 47-48, col. 4, lines 62-66, col. 5, lines 23-25
	AE	5694524-A1	12-02-1997	EVANS	col. 14, lines 41-53
	AF	5517511-A1	05-14-1996	HARDWICK ET AL	col. 4, lines 53-56, col. 11, lines 33-35, col., 12, lines 61-64
	AG	5740270-A1	04-14-1998	RUTENBERG ET AL	col. 7, lines 61-65, col. 16, lines 63-65, col. 18, lines 56-59
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	AL	5842199-A1	11-24-1998	MILLER ET AL	col. 12, lines 19-22
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	AN	6075818-A1	06-13-2000	THOMSON	col. 1, lines 25-31
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	AS	6182060-B1	01-30-2001	HEDGCOCK ET AL	col. 1, lines 26-28
	AT	6212528-B1	04-03-2001	BROPHY ET AL	col. 2, lines 63-66
	AU	4686329-B1	08-11-1987	JOYCE	col. 1, lines 21-23, col. 4, lines 60-62
	AV	5937400-A1	08-10-1999	AU	col. 7, lines 50-55, col. 18, lines 20-24
	AW	2002/0059265-A1	05-16-2002	FLEMING ET AL	page 2, paragraph 0017
	AX	5741648-A1	04-21-1998	HEMSTREET, III ET AL	col. 23, lines 60-62, col. 43, lines 44-46
	AY	4023098-B1	05-10-1977	ROTH	col. 3, lines 6-8
	AZ	6383789-B1	05-07-2002	WEBSTER ET AL	col. 3, lines 54-58, col. 5, lines 13-17, col. 9, lines 21-22, col. 13, lines 44- 53, col. 23, lines 27-41
	AA1	6240066-B1	05-29-2001	NAGARAJAN ET AL	col. 4, lines 36-39
	AB1	5721865-A1	02-24-1998	SHINTANI ET AL	col. 33, lines 58-61
	AC1	5822751-A1	10-13-1998	GRAY ET AL	col. 5, lines 36-42
	AD1	6274738-B1	08-14-2001	KOZLOWSKI ET AL	col. 14, lines 52-62
	AE1	5710901-A1	01-20-1998	STODGHILL ET AL	col. 2, lines 15-17
	AF1	5960164-A1	09-28-1999	DORFMAN ET AL	col. 11, lines 61-63



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<b>Substitute for form 1449A/PTO</b>  <b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)				<b>Complete if Known</b>	
				<b>Application Number</b>	10/077692
				<b>Filing Date</b>	February 15, 2002
				<b>First Named Inventor</b>	Patrick E. Hoffman
				<b>Art Unit</b>	2857
				<b>Examiner Name</b>	Not Yet Assigned
<b>Sheet</b>	2	<b>of</b>	3	<b>Attorney Docket Number</b>	ANVI-P01-003

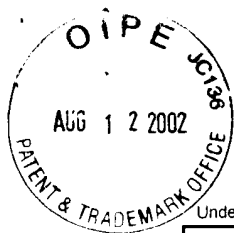
	AG1	6067096-A1	05-23-2000	NAGLE	col. 2, lines 5-9, col. 9, lines 51-54
	AH1	4287554-B1	09-01-1981	WOLFF	col. 8, lines 64-68
	AI1	6389425-B1	05-14-2002	DEMICHIEL ET AL	col. 9, lines 42-46
	AJ1	3984671-B1	10-05-1976	FLETCHER ET AL	col. 8, lines 1-4, col. 8, lines 10-20
	AK1	5857179-A1	01-05-1999	VAITYANATHAN ET AL	col. 3, lines 10-14, col. 5, lines 58-59, col. 7, lines 19-20, col. 7, lines 42-43
	AL1	6277981-B1	08-21-2001	TU ET AL	col. 7, lines 47-50

FOREIGN PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See attached Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST. 3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.



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		Application Number	10/077692
		Filing Date	February 15, 2002
		First Named Inventor	Patrick E. Hoffman
		Group Art Unit	2857
		Examiner Name	Not Yet Assigned
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Examiner Signature		Date Considered	
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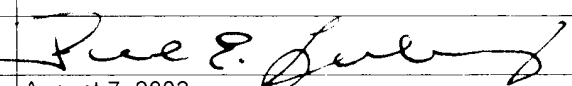
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<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	<b>Application Number</b>	10/077692	
	<b>Filing Date</b>	February 15, 2002	
	<b>First Named Inventor</b>	Patrick E. Hoffman	
	<b>Group Art Unit</b>	2857	
	<b>Examiner Name</b>	Not Yet Assigned	
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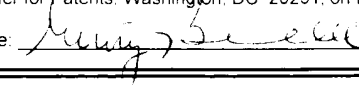
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<b>Remarks</b>		

**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

<b>Firm or Individual Name</b>	ROPES & GRAY Paul E. Lewkowicz
<b>Signature</b>	 44,870
<b>Date</b>	August 7, 2002

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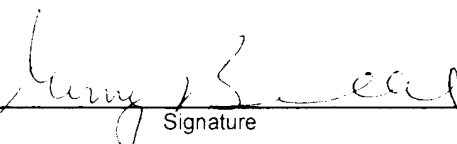
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